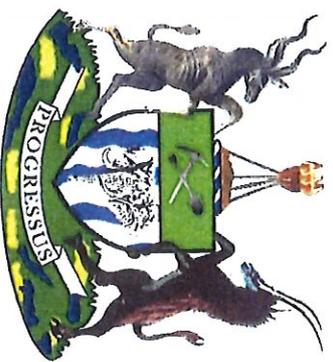
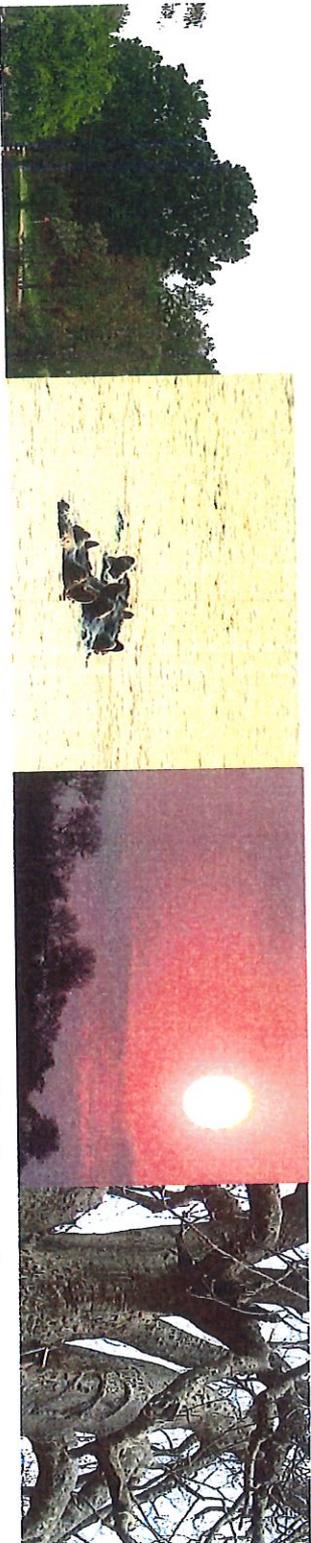


BA-PHALABORWA LOCAL MUNICIPALITY



2020-2021 THIRD QUARTER PERFORMANCE REPORT



“Provision of quality services for community well-being and

The Home of Marula and Wildlife Tourism

Contents

1. Introduction

The development, implementation and monitoring of a Service Delivery and Budget Implementation Plan (SDBIP) is required by the Municipal Finance Management Act (MFMA). In terms of Circular 13 of National Treasury, “the SDBIP gives effect to the Integrated Development Plan (IDP) and budget of the municipality and will be possible if the IDP and budget are fully aligned with each other, as required by the MFMA.”

As the budget gives effect to the strategic priorities of the municipality it is important to supplement the budget and the IDP with a management and implementation plan. The SDBIP serves as the commitment by the Municipality, which includes the administration, council and community, whereby the intended objectives and projected achievements are expressed in order to ensure that desired Projections over the long term are achieved and these are implemented by the administration over the next twelve months.

The SDBIP provides the basis for measuring performance in service delivery against quarterly targets and implementing the budget based on monthly projections. Circular 13 further suggests that “the SDBIP provides the vital link between the mayor, council (executive) and the administration, and facilitates the process for holding management accountable for its performance. The SDBIP is a management, implementation and monitoring tool that will assist the mayor, councillors, municipal manager, senior managers and community.”

2. Legislation

The Municipal Finance Management Act (MFMA) defines a Service Delivery and Budget Implementation Plan (SDBIP) as: a detailed plan approved by the mayor of a municipality in terms of section 53 (1) (c) (ii) for implementing the municipality’s delivery of municipal services and its annual budget, and which must indicate-

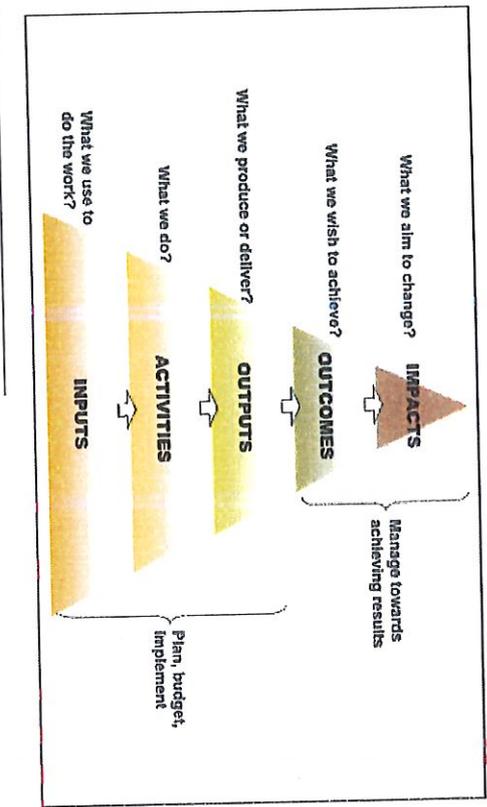
- (a) Projections for each month of-
 - (i) Revenue to be collected, by source; and
 - (ii) Operational and capital expenditure, by vote;
- (b) Service delivery targets and performance indicators for each quarter

Section 53 of the MFMA stipulates that the Mayor should approve the adjusted SDBIP within 28 days after the approval of the adjusted budget. The Mayor must also ensure that the revenue and expenditure projections for each month and the service delivery targets and performance indicators as set out in the adjusted SDBIP are made public within 14 days after their approval. The following National Treasury prescriptions, in terms of MFMA Circular 13, are applicable to the Ba-Phalaborwa Local Municipality:

1. Monthly projections of revenue to be collected by source
2. Monthly projections of expenditure (operating and capital) and revenue for each vote¹ *
3. Quarterly projections of service delivery targets and performance indicators for each vote
4. Ward information for expenditure and service delivery
5. Detailed capital works plan broken down by ward over three years

3. Methodology and Content

The development of the SDBIP was influenced by the Priorities, Strategic Objectives, Programme Objectives and Strategies contained in the IDP ensuring progress towards the achievement thereof. The SDBIP of the Ba-Phalaborwa Local Municipality (BLM) is aligned to the Key Performance Areas (KPA) as prescribed by the Performance Management Guide for Municipalities of 2001, with the addition of Spatial Rationale as another KPA to be focused upon. The methodology followed by BLM in the development of the SDBIP is in line with the Logic Model methodology proposed by National Treasury as contained in the Framework for Managing Programme Performance Information.



4. Strategic Intent

Vision:

“Provision of quality services for community well-being and tourism development

Mission:

“To provide quality infrastructure and affordable services, promote sustainable economic growth, financial viability, sound administration and accountable governance”

Values

- Efficiency and effectiveness;
- Accountability;
- Innovation and creativity;
- Professionalism and hospitality;
- Transparency and fairness;
- Continuous learning and
- Conversation conscious

Strategic objectives:

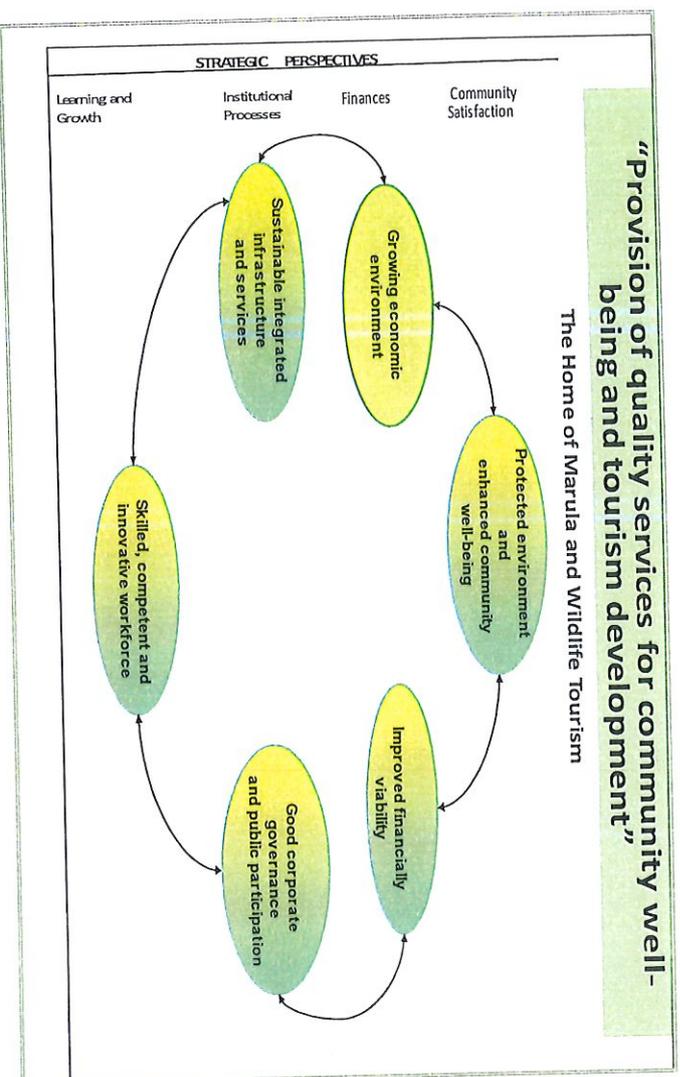
- Promotion of local economy

- Provision of sustainable integrated infrastructure land services
- Sustain the environment
- Improve financial viability
- Good corporate governance and public participation and
- Attract, develop and retain best human capital

Slogan:

“The home of Marula and wildlife tourism”

The strategic objectives are spread across the four perspectives as indicated through the strategic map below:



ACCOUNTING OFFICER'S ASSESSMENT OF PERFORMANCE

1. BACKGROUND OF THE REPORT

Section 72 of the Local Government: Municipal Finance Management Act, 56 of 2003, requires that the accounting officer of a municipality must by the end of Mid-year assess the performance of the municipality and submit a report on each assessment to the mayor of the municipality; the National Treasury; and the relevant provincial treasury.

In terms of Section 72 (2) of the Act, this report is accompanied by a statement compiled in terms of the provisions of Section 71(1).

2. MUNICIPAL THIRD QUARTER PERFORMANCE AS PER THE ASSESSMENT

The municipality used the top layer SDBIP as approved for implementation during the 2020/21 financial year. The SDBIP is used as a performance monitoring tool for the implementation of the IDP and Budget. The performance target reflected in the SDBIP is as per the IDP objectives. The Administration component is responsible for implementation of the SDBIP and the Political component is responsible for providing oversight. This is done through regular reporting to Council.

Key Performance Area	Third Quarter Target	Target Achieved	Target not Achieved	Target not Performed	% Achievement
Spatial Rationale	1	1	0	0	100%
Basic Services Delivery	12	10	2	0	83.3%
Municipal Financial Viability	8	7	1	0	87.5%
Local Economic Development	3	1	2	0	33.3%
Municipal Transformation and Institutional Development	5	3	2	0	60%
Good Governance and Public Participation	32	29	1	2	88%
Total	61	51	8	2	84%

3. PERFORMANCE ANALYSIS

Third Quarter = 61/51 = 84%

2020/21 Monthly Projections of Revenue for each Source						R'000	
Sources of Revenue	Third Quarter target	Third Quarter Actual Performance	Third Quarter Performance Variance	Challenges	Corrective measures/ Interventions	Evidence Required	
Property Rates	895	57 778	43 117	The difference is due to rebates offered to customers (1 5 000 exclusion, 10% rebate & properties which are yet to be loaded on the EMS)	To ensure that service Provider SEBATA load all the properties on the EMS	Finance report	
Service charges – electricity	343	69 027	44 316	The difference is due to business, rental properties not operating due to COVID 19 and also losses due to either illegal connections	Electricity meter audit to be implemented	Finance report	
Service Charges – Refuse	053	12 522	2 532	Not all properties are loaded into the financial system	To ensure that service Provider SEBATA load all the properties on the EMS	Finance report	
Rental of Facilities and Equipment	236	1	235	Rental of facilities prohibited due to Covid 19	Reduce the target during adjustment budget	Finance report	
Interest on external Investments	027	1 758	269	None	To reduce the projections during adjustment budget	Finance report	
Interest Earned – Outstanding Debtors	025	69 118	(24 093)	Culture of non-payment of services	Debt collectors are assisting with the collection of outstanding debts	Finance report	
Dividends received			-			Finance report	
Fines	255	17	238	The performance low due to culture of non-payment of services	Debt collectors are assisting with the collection of outstanding debts	Finance report	
Licenses and Permits	775	5 297	5 478	Underperformance due to closure of offices (Covid 19)	To reduce the projections during adjustment budget	Finance report	
Agency services	244	-	2 244	Journals not yet processed	Journals for the past six months to be processed (20% from licence and permits and 5% from water and sanitation revenue	Finance report	
Transfers recognised - operational	595	198 610	(44 015)	None	None	Finance report	
Transfers recognised - capital	828	17 317	9 511			Finance report	
Other Revenue	514	5 282	4 232			Finance report	
Total Revenue by Source	790	436 726	44 064				

Monthly Projections of Expenditure by Vote: Third Quarter

Expenditure and Revenue by Vote	2020-21 Third Quarter Target Opex	2020-21 Third Quarter Actual Performance	2020-21 Third Quarter Actual Performance variance	Remarks/Challenges	Corrective measures	Evidence Required
Executive and council	-	-	-			Finance report
Budget and Treasury	275 436	235 081	40 355			Finance report
Corporate Services	236	1	235			Finance report
Community and Social Services	244	244	0			Finance report
Public Safety	13 255	13 157	98			Finance report
Economic and Environmental Services	8 302	8 302	0			Finance report
Road Transport	32 259	32 259	0			Finance report
Electricity	131 961	128 585	3 376			Finance report
Waste Management	19 097	19 097	0			Finance report
Total by Vote	480 790	436 726	44 064			

Capital by Vote: Third Quarter

Expenditure and Revenue by Vote	2020-21 Third Quarter Target Capex	2020-21 Third Quarter Actual Performance	2020-21 Third Quarter Actual Performance variance	Remarks/Challenges	Corrective measures	Evidence Required
Executive and council	-	-	-			Finance report
Budget and treasury office	-	-	-			Finance report
Corporate services	-	-	-			Finance report
Road transport	31 759	16 421	15 338			Finance report
Electricity	6 182	1 742	4 440			Finance report
Total by Vote	37 941	18 163	19 779			

Monthly Projections of Expenditure by Vote: Third Quarter

Expenditure V by Vote	2020-Third Quarter Target Revenue	2020-21 Third Quarter Actual Performance	2020-21 Third Quarter Actual Performance Variance	Remarks/Challenges	Corrective measures	Evidence Required
Executive and council	26 950	37 385	438			
Budget and Treasury	119 927	46 470	1 772			Finance Report
Corporate Services	16 035	13 506	13 506			Finance Report
Community and Social Services	43 397	62 060	713			Finance Report
Public Safety	22 112	15 673	26			Finance Report
Planning and development	15 198	9 365	80			Finance Report
Road Transport	75 014	56 001	3 719			Finance Report
Electricity	117 079	89 458	1 463			Finance Report
Waste Management	6 426	4 978	2			Finance Report
Total by Vote	442 139	334 895	21 722			

Detailed Institutional Performance Results for 2020/21 Third Quarter per Key Performance Areas

Under-Performance	0 - 49%
Partially achieved	50 - 74%
Good Performance	75 - 100%
Over achieved	Over 100%
Non-Performance	

Note:

1. **Over achievement Standards does not apply to compliance targets**
2. **Over achievement standards applies to service delivery targets and core business of the municipality**

KPA 1:

Spatial Rationale

KPA 1: Spatial Rationale

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
1.1 Spatial Planning														
1.1.2	Governance and Administration	Sustain the environment	Turnaround time of land use & development applications submitted to Mopani Planning Tribunal by 30/06/2021	Senior Manager Planning & Development	Within 60 days of received	Within 60 of received	OPEX	Within 60 of received	7 applications received and 4 approved, the remaining are being processed and still within the time frames	0	the remaining are being processed and still within the time frames	Relevant supporting documents not attached	Fast tracking the process of submitting relevant document required and speed up comments by internal departments	Submission Register within 60 days.

KPA 2:

BASIC SERVICE DELIVERY

KPA 2: SERVICE DELIVERY

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan – 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
2.1 Electricity														
2.1.1	Technical Infrastructure	Provision of sustainable integrated infrastructure and service	% on reduction of electricity losses each quarter by 30/06/2021	Senior Manager Technical Services	4,60%	7%	OPEX	0,25%	3,89%	3,55	The high losses is caused by illegal connection/ tampering of meters and meter reading errors;	This will remain challenge until proper meter audit conducted to determine the causes of losses	Proper meter audit	BPM billing to consumers, Eskom bill and distribution loss
2.1.2	Technical Infrastructure	Provision of sustainable integrated infrastructure and service	Expenditure on electricity capital funding spent per quarter by 30/06/2021	Senior Manager Technical Services	R7,648m	R10m	INEG EESDM	R5m	R1,021,284	R3,978,716	Little appointment of Service providers	Very little time to complete INEP projects	Fast track the procurement process	Payment Certificates and Expenditure Reports
2.1.3	Technical Infrastructure	Provision of sustainable integrated infrastructure and service	Number of HH with access to electricity in Municipal licenced area (Phalaborwa Town) by 30/06/2021	Senior Manager Technical Services	45995	5274	INEG	5274	5247	0	None	None	None	BPM billing to consumers, and Eskom bill
2.1.4	Technical Infrastructure	Provision of sustainable integrated	Number of indigent HH receiving free	Chief Financial Officer	3448	3969	OPEX	3969	3969	0	None	None	None	Indigent register

KPA 2: SERVICE DELIVERY

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required	
								Third Quarter target (1 Jan – 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance					
2.2 Roads & Storm Water															
2.2.1	Infrastructure	Maintenance of sustainable integrated infrastructure and service	Number of km of gravel roads upgraded to tar by 30/06/2021	Senior Manager Technical Services	3,4km	2,4km	CAPEX	2,0 km	6,8km	±4,8km	4 delivered - Tombo phase 2B/C 2 D upgraded simultaneously by hence the target has been exceeded	None	None	None	Monthly maintenance reports
2.2.2	Infrastructure	Provision of sustainable integrated infrastructure and service	Expenditure on roads capital funding spent per quarter by 30/06/2021	Senior Manager Technical Services	R14,5m	CAPEX	R10,2m	R6 928 569	0	Advised	None	None	None	Quarterly Capital Expenditure Reports	
2.3 Parks and Cemetery															
2.3.1	Protect Environment and Community Well being	Sustain the Environment	Number of parks maintained by 30/06/2021 (Impala, Municipal Lapa,Civic Centre and Wild vein)	Senior Manager Community Services	4	4	OPEX	4	4	0	None	None	None	Maintenance plan and inspection reports	

KPA 2: SERVICE DELIVERY

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan – 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
2.3.2	Protect Environment and Community Well being	Sustain the Environment	Number of cemetery maintained by 30/06/2021 (Phalaborwa, Lutekani and Namakgale)	Senior Manager Community Services	3	3	OPEX	3	3	0	None	None	None	Maintenance plan and inspection Reports
2.4 Waste Management														
2.4.1	Protect Environment and Community Well being	Sustain the Environment	Number of quarterly reports submitted for maintenance of Phalaborwa landfill site by 30/06/2021	Senior Manager Community Services	4	4	OPEX	3	3	0	None	None	None	Quarterly report to council and council resolution
2.4.2	Protect Environment and Community Well being	Provision of sustainable integrated infrastructure and services	Number of Urban Households with access to basic waste removal services in Phalaborwa, Namakgale, Gravelotte and Lutekani by 30/06/2021	Senior Manager Community Services	22941	18466	OPEX	18466	18466	0	None	None	None	Confirmation of waste collection by ward councillors

KPA 2: SERVICE DELIVERY

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan – 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
2.4.3	Protect Environment and Community Well being	Provision of sustainable integrated infrastructure and services	Number of Rural Households receiving free basic waste removal services by 30/06/2021	Senior Manager Community Services	22941	17415	OPEx	17415	17415	0	None	None	None	Confirmation of waste collection by ward councillors
2.4.4	Protect Environment and Community Well being	Sustain the Environment	Number of Indigent Households receiving free basic waste removal service by 30/06/2021	Senior Manager Community Services	3148	541	OPEx	541	541	0	None	None	None	Indigent Register

KPA 3:

MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT

KPA 3: Municipal Financial Viability and Management

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan- 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance e- variance				
3.1 Financial Management														
3.1.2	Good governance and administration	Good corporate governance and public participation	Number of approved 2021/22 Draft Budget by Council by 31/03/2021 (3 months before the start of the new financial year)	Municipal Manager	1	1	OPEX	1	1	0	None	none	None	Draft Budget document, Council Resolution
3.1.6	Governance and administration	Improve financial viability	Number of quarterly movable asset verifications conducted by 30/06/2021	Chief Financial Officer	4	4	OPEX	3	3	0	None	none	None	Quarterly assets verifications reports
3.1.7	Governance and administration	Improve financial viability	Number of monthly strings uploaded using the LG Portal within 10 days at the end of each month. Treasury by 30/06/2021	Chief Financial Officer	12	12	OPEX	9	9	0	None	none	None	Monthly strings Proof of submission within 10 days.
3.1.8	Governance and administration	Improve financial viability	% of improvement in revenue collection monthly (improvement from 65 to 80% by 30/06/2021 budget year	Chief Financial Officer	71%	80%	OPEX	60%	69%	-9%	None	None	None	Quarterly reports on revenue collection
3.1.9	Governance and administration	Improve financial viability	% of Debt collected by 30/06/2021	Chief Financial Officer	29%	80%	OPEX	60%	59%	55%	Target not achieved	Credit Control Measures not fully implemented	Develop a revenue protection Unit	Quarterly reports on current debt collection

KPA 3: Municipal Financial Viability and Management

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter Target (1 Jan- 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance e variance				
3.1.11	Good governance and administration	Improve financial viability	Expenditure spent quarterly on MIG by 30/06/2021	Chief Financial Officer	R42,372,924m	R31,831m	CAPEX	R25,m	R18,5m	R6,5m	Covid 19 delayed the implementation	Covid 19 as suppliers were not fully operational	Speeding up Delivery of materials by suppliers	Finance reports, MIG monitoring report/ payment certificates
3.1.12	Good governance and administration	Improve financial viability	% of quarterly Municipal Capital Budget spent by 30/06/2021	Chief Financial Officer	82%	100%	OPEX	75%	62%	13%	Delayed in appointing contractor	Delay in implementation of Electricity project	Appointment of contractor	Finance reports
3.1.13	Good governance and administration	Improve financial viability	% of quarterly Municipal Personnel Budget spent by 30/06/2021	Chief Financial Officer	82%	100%	OPEX	75%	64%	11%	Covid 19 regulations affected operations	None	None	Expenditure report

KPA 4:

LOCAL ECONOMIC DEVELOPMENT

KPA 4: Local Economic Development

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan- 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
4.1 Job creation														
4.1.3	Economic	Promotion of local economy	Number of quarterly LED Forums meetings held by 30/06/2021	Senior Manager Planning and Development	2	4	OPEX	3	1	-2	Still awaiting a date from Office of the Mayor as to when we can convene the meeting	Covid -19 (most stakeholders they don't have gaugers to participate on line)	Call meeting in a venue with strict Covid 19 protocols	Invitations, Attendance register and minutes
4.2 Enterprise Support														
4.2.1	Economic	Promotion of local economy	Number of SMEs supported quarterly through the municipal SOWI programme by 30/06/2021	Chief Financial Officer	272	100	OPEX & CAPITAL	75	120	45	Target more achieved	None	None	System performance report
4.3 Tourism Development														
4.3.1	Economic	Promotion of local economy	Number of quarterly municipal tourist destination promotion and marketing initiatives undertaken by the municipality by 30/06/2021	Senior Manager Planning and Development	3	4	OPEX	3	0	-3	Covid 19 municipal tourism destination promotion activities could not be held due to Covid 19	Covid 19 and restriction	None	Visitors attendance registers, Stakeholders engagement report.

KPA 5:

Municipal Transformation and Institutional Development

KPA 5: Municipal Transformation and Institutional Development

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
5.1 Organisational Design & Human Resource														
5.1.2	Good governance and administration	Good corporate governance and public participation	Number of HR Policies Reviewed per quarter by 30/06/2021	Acting Senior Manager Corporate Services	6	8	OPEX	6	0	-6	The policies are stuck at the Local Labour Forum due to lack of co-operation by the Labour Component	The policies are stuck at the local Labour Forum due to lack of co-operation by the Labour Component	Implementation of dispute resolution as per collective agreement	Council Resolutions on Reviewed policies and copies of Reviewed Policies.
5.1.3	Good governance and administration	Attract, develop and retain best human capital	Number of prioritised vacant positions to be filled per quarter by 30/06/2021	Acting Senior Manager Corporate Services	23	30	OPEX	25	25	0	Manager: Planning and Human Settlement Cashier: X 3 SNR Officer – Staffing.	None	None	Appointment letters, appointment register, details of new employees and copies of adverts.

KPA 5: Municipal Transformation and Institutional Development

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
5.3 Skills Development														
5.3.2	Good governance and administration	Attract, develop and retain best human capital	Amount of Municipal budget allocated and spent on work skills development per quarter 30/06/2021 (1% legislation)	Acting Senior Manager Corporate Services	R1 639 028	R4071 676,58m	OPEX	R3,3m	R164 000-00	R1 201 510,56	Training of employees is very slow due to COVID19 Pandemic	Supply chain processes are very slow	Relaxation of Covid 19 regulations for officials and councillors to start attending trainings	Expenditure reports, implementation reports
5.4 Performance Management System														
5.4.2	Good governance and administration	Good corporate governance and public participation	Number of Individual Performance Assessments of S4&S6 Managers conducted to review their performance by 30/06/2021	Municipal Manager	0	2	OPEX	1	0	-1	Covid 19 regulations makes it difficult to conduct assessment	Covid 19 regulations makes it difficult to conduct assessment	Assessment will be conducted after Relaxation of covid 19 regulations	Approved Schedule of Individual Performance Assessments records, attendance registers and Scorecards and reports

KPA 5: Municipal Transformation and Institutional Development

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target 30/06/21	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan- 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance				
5.5.1	Good governance and administration	Good corporate governance and public participation	Number of scheduled Institutional OHS quarterly meetings by 30/06/2021	Senior Manager Corporate Services	3	4	OPEX	3	2	1	Target will be achieved as the meeting will be held April	None	The 3 rd meeting will be held during the month of April in order to cover the whole quarter.	3 Reports, minutes and attendance registers
5.5 OHS														

KPA 6:

GOOD GOVERNANCE & PUBLIC PARTICIPATION

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan- 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
6.1 Council and Executive Management														
6.1.1	Good governance and administration	Good corporate governance and public participation	Number of scheduled quarterly Council meetings held by 30/06/2021	Senior Manager Corporate Services	16	6	OPEX	5	11	6	21/07/2020 (S) 30/07/2020 (O) 27/08/2020 (O) 30/09/2020 (S) 29/10/2020 (O) 27/11/2020 (S) 17/12/2020 (S) 28/01/2021 (O) 25/02/2021 (S) 18/03/2021 (S) 31/03/2021 (O)	None	None	Minutes of council meetings, attendance registers
6.1.2	Good governance and administration	Good corporate governance and public participation	Number of scheduled monthly Exco meetings by 30/06/2021	Senior Manager Corporate Services	16	11	OPEX	8	13	5	21/07/2020 (S) 29/07/2020 (O) 26/08/2020 (O) 29/09/2020 (O) 29/10/2020 (O) 25/11/2020 (O) 26/11/2020 (S) 17/12/2020 (S) 27/01/2021 (O) 24/02/2021 (O) 18/03/2021 (S) 30/03/2021 (O) 31/03/2021 (S)	None	None	Minutes of EXCO meetings, attendance registers
6.1.3	Good governance and administration	Good corporate governance and public participation	Number of scheduled quarterly MPAC meetings held as per legislation by	Municipal Manager	9	4	OPEX	3	4	0	1.Meeting held on the 16th March 2021.	None	None	Council Approved MPAC schedule of meetings/Attendance registers

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance				
6.1.4	Good governance and administration	Good corporate governance and public participation	% of MPAC quarterly Resolutions implemented by 30/06/2021	Municipal Manager	52.4%	53%	OPEX	100%	72%	-28%	Resolution register not updated due to Covid-19 restrictions.		Resolution register	Minutes of EXCO meetings, attendance registers
6.1.5	Good governance and administration	Good corporate governance and public participation	Number of scheduled monthly senior management meetings held by 30/06/2021	Municipal Manager	14	11	OPEX	8	48	+3		none		Minutes of Portfolio meetings, attendance registers
6.1.6	Good governance and administration	Good corporate governance and public participation	Number of scheduled monthly Portfolio Committee meetings held by 30/06/2021	Municipal Manager	55	55	OPEX	45	48	+3	3 Special meeting			Minutes of Portfolio meetings, attendance registers
6.2 Public Participation and Ward Committees														
6.2.1	Good Governance and Administration	Good corporate governance and public	Number of IDP REP Forum meetings	Municipal Manager	3	4	OPEX	3	0	-3	The meeting was not held due to Covid 19 regulations	The meeting was supposed	The meeting will be held	Attendance registers, agendas, invitations

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter Target (1 Jan- 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance				
6.2.2	Good governance and administration	Good corporate governance and public participation	Number of IDP Steering Committee meetings held by 30/06/2021	Municipal Manager	4	4	OPEX	3	3	0	Target achieved	None	None	Attendance registers, agendas, invitations
6.2.3	Good governance and administration	Good corporate governance and public participation	Number of consolidated monthly reports of Ward Committee meetings scheduled and convened by 30/06/2021 (Functionality of ward committees)	Municipal Manager	7	11	OPEX	8	8	0	Target achieved	None	None	Minutes of Batho-pele committee meetings, attendance register and consolidated monthly Batho-pele reports
6.2.4	Good governance and administration	Good corporate governance and public participation	Number of quarterly Mayor's Imbizos and public	Municipal Manager	4	4	OPEX	3	3	0	Imbizos report was made available to community on all social media	The actual Mayor's Imbizos was not conducted	None	Attendance registers, Imbizos reports

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance				
6.2.5	Good governance and administration	Good corporate governance and public participation	participation by 30/06/2021	Municipal Manager	94.3%	100%	OPEX	100%	98.4%	-1.6	482 cases received and 455 resolved only 27 cases outstanding	No sufficient budget for operation and maintenance	It will be considered when budgeting for next financial year	Complaints register, batho pele report
6.3.1	Good governance and administration	Good corporate governance and public participation	Number of Audit Committee quarterly meetings held by 30/06/2021	Municipal Manager	8	7	OPEX	5	7	+2	AC meetings held on the 24 August, 28 September, 06 October, 27 October, 10 December 2020, 22 January and 19 March 2021	None	None	Copies of approved minutes, attendance registers
6.3.3	Good governance and administration	Good corporate governance and public participation	Number of Audit Steering Committee quarterly meetings	Municipal Manager	12	12	OPEX	9	24	+15	Exco Audit Steering Committee on 24 July 2020, 29 January 2021, 10	None	None	Approved minutes and attendance registers. (Exco Management)

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance				
6.3.5	Good governance and administration	Good corporate governance and public participation	% Implementation of IA Plan per quarter by 30/06/2021	Chief Executive Audit	100%	100%	OPEX	75%	76%	+1%	February and 25 February 2021, 11 March and 19 March 2021, 23 March 2021	None	None	Audit Committee Report to Council with progress on Internal Audit Plan.
6.3.6	Good governance and administration	Good corporate governance and public participation	% Implementation of Internal Audit Action Plan by 30/06/2021	Municipal Manager	66%	80%	OPEX	70%	71%	+1	None	None	None	Internal Audit Follow-up Report
6.3.7	Good governance and administration	Good corporate governance and public participation	Number of quarterly Audit/Performance Audit Committees Reports presented to Council by 30/06/2021	Chief Executive Audit	6	4	OPEX	3	3	0	AC Report was presented to Council on the 27 August 2020, 29 October 2020 and 28 January 2021	None	None	Audit Committee Reports and Council Resolution number for presentation of the report to Council
6.3.8	Good governance	Good corporate governance	% implementation	Municipal Manager	57%	80%	OpeX	75%	92%	+17%	Target achieved	None	None	Audited Audit Committee

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
6.3.11	Good governance and administration	Good corporate governance and public participation	Number of developed AG Action Plan approved to address the 2018/19 AG Report findings by 31/03/2021.	Municipal Manager	1	1	OPEX	1	1	0	Target achieved	None	None	Approved AG Action Plan by Council
6.3.12	Good governance and administration	Good corporate governance and public participation	% of quarterly implementation on AG Action Plan by 30/06/2021	Municipal Manager	40%	80%	OPEX	50%	65%	15%	Target achieved	None	None	Audited AG Action Plan and Portfolio of Evidence
6.3.13	Good governance and administration	Good corporate governance and public participation	Number of monthly Local Labour Forum meetings held by 30/06/2021	Senior Manager Corporate Services	13	11	OPEX	8	12	4	08/07/20 (S) 16/07/20 (O) 17/08/20 (S) 10/09/20 (S) 17/09/20 (O) 22/10/2020 (O) 30/11/2020(O) 10/12/2020(S) 11/02/21 (S) 18/03/2021 (O) 25/03/2021 29/03/2021 (S)	None	None	LLF minutes and attendance register.

6.4 Risk Management, Fraud & Anti-Corruption

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan- 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance				
6.4.3	Good governance and administration	Good corporate governance and public participation	Number of quarterly Institutional Risk Management Committee meetings held by 30/06/2021	Municipal Manager	4	4	OPEX	3	3	0	Target achieved	None	None	Minutes of the Risk Committee meeting and attendance register
6.4.4	Good governance and administration	Good corporate governance and public participation	% of fraud and corruption cases reported and investigated within 30 working days by 30/06/2021	Municipal Manager	0%	100%	OPEX	100%	100%	0	Target achieved	None	None	Investigation reports
6.5 HIV/AIDS														
6.5.1	Good governance and administration	Provision of sustainable integrated infrastructure and services	Number of quarterly outreach programmes conducted by 30/06/2021	Municipal Manager	18	16	OPEX	12	8	5 Outreach programmes	COVID-19 restrictions	COVID-19 restrictions unable us to conduct outreach programmes	Adapt and plan for the new normal	Outreach programmes reports
6.6 Security management														
6.6.1	Good governance and Administration	Good corporate governance	Number of quarterly Security	Municipal Manager	4	4	OPEX	3	3	0	1 st , 2 nd and 3 rd Quarter reports were submitted.	None	None	Security Management report

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections				Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance					
6.7 Disaster Management															
6.7.1	Governance and Administration	Good corporate governance and public participation	Number of quarterly disaster awareness campaigns conducted by 30/06/2021	Municipal Manager	4	4	OPEX	3	7	+4	Target achieved	None	None	Invitations, Agenda, Attendance register and reports	
6.8 Performance Management System															
6.8.1	Governance and Administration	Good corporate governance and public participation	Number of Mid-Year Budget and Performance Assessment Report submitted to council by 31/03/2021	Municipal Manager	1	1	OPEX	1	1	0	Report submitted as per legislation	None	None	Council approval and resolution	
6.8.2	Governance and Administration	Good corporate governance and public participation	Number of 2019/20 Draft Annual Report approved by 31/01/2020	Municipal Manager	1	1	OPEX	1	0	-1	Due to Covid 19 audit was delayed and the report will be submitted in May	Delay of audit by AG because of covid 19 which affected the audit	None	Council Approved 2019/20 Draft Annual Report with Council Resolution	

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter Target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance				
6.8.3	Governance and Administration	Good corporate governance and public participation	Number of Oversight Report on 2019/20 Draft Annual Report approved by 31/03/2021	Municipal Manager	1	1	OPEX	1	0	-1	Due to Covid 19 audit was delayed and the report will be submitted in May	Delay of audit by AG because of covid 19 which affected the audit report	None	Council Approved Oversight Report and Council Resolution
6.8.4	Good governance and administration	Good corporate governance and public participation	Number of reviewed 2020/2021 SDBIP approved by 31/03/2021	Municipal Manager	1	1	OPEX	1	1	0	Reviewed and approved by council	None	None	Reviewed SDBIP signed by the Mayor and council resolution
6.9 Integrated Development planning														
6.9.2	Governance and Administration	Good corporate governance and public participation	Number of 2021/22 Draft IDP approved by 31/03/2021	Municipal Manager	1	1	OPEX	1	1	0	Submitted and approved by council in March	None	None	Council resolution (Council approve 2021/22 Draft IDP)
6.10 Communication														
6.10.2	Governance and Administration	Advance good corporate governance	% for submission of information for publishing on the website as according to legislation checklist by	Municipal Manager	100%	100%	OPEX	100%	100%	0	None	None	None	Legislation checklist

KPA 6: Good Governance and Public Participation

PMS No. & Performance Area	Cluster	IDP Objective	Key Performance Indicator	Responsible Manager	Baseline (30/06/20)	Annual Target (30/06/21)	Budget	2020/21 Quarterly Projections			Remarks	Challenges	Interventions	Evidence Required
								Third Quarter target (1 Jan-31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance variance				
6.10.3	Governance and Administration	Advance good corporate governance	Number of quarterly Local Communicators Forum held by 30/06/2021	Communication manager	New	4	OPEX	3	3	0	None	None	None	Invitations, Minutes and attendance registers

CAPITAL PROJECTS PER RESPONSIBLE MANAGER

Vote No.	Responsible Manager	Project Name	Total Capital Budget (R'000)	Planned Start Date	Planned Completion Date	Ward No.	Quarterly Outputs 2020/21			Remarks and Challenges	Evidence required
							Third Quarter Target (1 Jan - 31 March 21)	Third Quarter Actual Performance	Third Quarter Actual Performance Variance		
INEP											
	Senior Manager Technical	Electrification Namakgale D and Selwane	R5000 000,00	01/07/20	30/06/21	18, 1	Construction Site meeting	Tenders closed	0	None	Bid Committees minutes and registers, advertisements. Progress reports and Completion report and Completion Certificate

ESSD											
	Senior Manager Technical	Replacement of Streets lights	R5000 000,00	01/07/20	30/06/21	all	Construction Site meeting	Procurement of material 80% completed Site meeting	0	Immediately delivery of materials completed the projects will commence	Bid Committees minutes and registers, advertisements, Progress reports and Completion report and Completion Certificate
	Senior Manager Technical	Tambo phase 2 street paving	R14510857,41	01/07/20	30/06/21	13	Construction Site meeting	Construction Phase 2B, C & D (6.8km) in progress: Physical Progress 68% Time elapsed-71%	None	Low project Expenditure due to ongoing litigation proceedings however construction works have not stopped.	Progress reports and Completion report and Completion Certificate
	Senior Manager Technical	Refurbishment of Namakgale Stadium	R5345294,53	01/07/20	30/06/21	18	Construction Site meeting	Construction Site Handed Over 01 March 2021 and the contractor has established site.	None	OHS Consultant appointed for the application of the Project Construction Work Permit with Dep't of Labour before physical works are undertaken.	Progress reports and Completion report and Completion Certificate
MIG											

Assessment for service providers

Ratings

Rating	Description of rating
1	Poor Performance
2	Fair Performance
3	Good Performance
4	Very Good Performance
5	Performance Above Expectations

Project name	Scope of work	Name of the Service provider	Source of funding	Start date	Completion date	Budget	Progress to date	Challenges and intervention	Assessment of service provider
									Poor, Fair, Good, Very good & Above expectations Quarter 2
Mashishimale sports complex	Construction of multipurpose hall, Pavilion Roofing, ablutions and change rooms, storm water drainage, access road, electrical Installation,	Lab P Construction	MIG	16/01/2015	26/02/2021	R45 653 785.05	Phase 3 completed 26 February 2021	Delayed completion	Fair

	High mast lights, soccer pitch grassing and irrigation.											
Selwane sport complex	Construction of multipurpose hall, Pavilion Roofing, ablutions and change rooms, storm water drainage, access road, electrical installation, High mast lights, soccer pitch grassing and irrigation.	Nandzu Trading and General Projects	MIG	25/04/2017	09/01/2021	R45 518 378,36	Phase 3 – Physical progress - 97.5% Time elapse 98.2%	Delayed completion of the project due to heavy rains. Project completion extended to 09 April 2021	Fair			
Upgrading of gravel road to tar Tambo	Upgrading of 7.46km from gravel to Tar: Layer works and road surfacing with 30mm continuously graded asphalt, complete with concrete open drains, edge beams, speed humps, road markings and road signs.	Tshiamiso Trading 135	MIG	12/04/2018	30/06/2021	R 48 229 482,70	Phase 2A (0.604km) is complete. Phase 2B, C & D (6.8km) in progress: Physical Progress 68% Time elapsed- 71%	Low project Expenditure due to ongoing litigation proceedings however construction works have not stopped.	Good			
Upgrading of street phase 2												
Refurbishment of Namakgale stadium	Upgrading of the Football field, spectator grandstand, Athletic track,	TP Noko Contractors	MIG	01/03/2021	30/05/20023	R 44 941 439,69	Contractor appointed 19/02/2021. Site hand-	OHS Consultant appointed for the application of the Project Construction	Good			

	Concrete Palisade Wall Fencing, Electrification of the facility (Floodlights), and Installation of a turf irrigation system, Netball Court, Tennis Courts, Security/Ticket booth and Ablution Facilities.						over 01/03/2021 Site Establishment in progress	Work Permit with Dep't of Labour before physical works commence.	
--	---	--	--	--	--	--	---	--	--

THIRD QUARTER APPROVAL

The Third Quarter Performance Report is hereby compiled in terms of Municipal Systems Act (MSA), 32 of 2000, section 46(1) and (2), as well as the MFMA Circular 11 on annual reporting. This report covers the performance information from 01 January 2021 to March 2021 and focuses on the implementation of the Service Delivery Budget and Implementation Plan (SDBIP), in relation to the objectives as encapsulated in the Municipality's Integrated Development and Plan (IDP). This Report reflects actual performance of the Municipality as measured against the performance indicators and targets in its Integrated Development Plan (IDP) and Service Delivery and Budget Implementation Plan (SDBIP) for 2020/2021.

Progress against the objectives set out in the SDBIP will be monitored and reported on a monthly, quarterly, half-yearly and annual basis.

Monitoring
Implementation of
the SDBIP

Signature

2020-21 Third Quarter Compiled by:

Municipal Manager

M.I Mookamela

Date

03/05/2021

2020-21 Third Quarter Approved by:

Mayor

Clir M.M Malatji

Date

03/05/2021

Annexure A

Methodology

Ba-Phalaborwa Municipality uses the cumulative method on reporting the actual on the Service Delivery Budget Implementation Plan.

Technical Definitions

AFS

AFS stands for Annual Financial Statements

BPM

BPM stands for Ba-Phalaborwa Municipality

HH

Household

Baseline

The performance of the previous year

Urban Areas

The urban areas refers to Phalaborwa, Namakgale, Lulekani and Gravelotte.

Rural Areas

The urban areas refers to Majele, Mashishimala, Maseke, Makhushane, Selwane

Reduction in water losses

This is calculated as follows: Lepelle bill less BPM bill / Lepelle bill x 100.

Reduction in electricity losses

This is calculated as follows: Eskom bill less BPM bill / Eskom bill x 100.

2.4 Kilometres of roads upgrade from gravel to tar/paving

This relates Tambo phase 2 (Namakgale)

Rehabilitation

Replacement of old road surface (tar) with a new one.

Site Establishment/ Set-up Construction Site

Arrangement of offices, bringing the machinery and equipment onsite.

Tourism Initiatives Activities

September Tourism Month – Spring Day, Orchid Show, Heritage Day Celebration, 2 Tourism workshops and Marathon.

Tourism Indaba – Procurement of promotional materials

SME- Small, Micro, Medium Enterprise

SPLUMA – Spatial Planning Land Use Management Act 2013

No. SPLUMA Applications

Number of development (land use) applications received/ applications processed in terms of SPLUMA